

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re **Continuation** Application of)
 Mark J. STEFIK et al.) Group Art Unit: 3621
Based on U.S. Serial No.: 10/015,952 filed 12/17/2001) Examiner: Kambiz Abdi
Filing Date: **July 14, 2003**)
For: **A USAGE RIGHTS GRAMMAR AND DIGITAL**)
 WORKS HAVING USAGE RIGHTS CREATED) Date: July 14, 2003
 WITH THE GRAMMAR)

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited was previously submitted in the parent application.

The documents are being submitted within three (3) months of the filing of this application or entry into the national stage of this application, or before the first Office Action on the merits, whichever is later, therefore no fee or certification is required under 37 C.F.R. § 1.97(b).

It is requested that the accompanying PTO-1449 be considered and made of record in the above-identified application. To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initial a copy of this form be returned to the undersigned.

The Commissioner is hereby authorized to charge any fees connected with this filing which may be required now, or credit any overpayment to Deposit Account No. 19-2380 (111325-272).

Respectfully submitted,

By: 

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Registration No. 35,212

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Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	Not Yet Assigned
				Filing Date	July 14, 2003
				First Named Inventor	MarkJ. STEFIK et al
				Art Unit	3621
				Examiner Name	Kambiz Abdi
Sheet	1	of	10	Attorney Docket Number	111325-272

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	U.S. Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US-3,263,158	07/26/1966	D.W. Bargaen et al.	
		US-3,609,697	09/28/1971	Blevins et al.	
		US-3,790,700	02/05/1974	Callais et al.	
		US-3,798,605	03/19/1974	Feistel	
		US-4,159,468	06/26/1979	Barnes et al.	
		US-4,220,991	09/02/1980	Hamano et al.	
		US-4,278,837	07/14/1981	Best	
		US-4,323,921	04/06/1982	Guillou	
		US-4,442,486	04/10/1984	Mayer	
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		US-4,558,176	12/10/1985	Arnold et al.	
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		US-4,644,493	02/17/1987	Chandra et al.	
		US-4,658,093	04/14/1987	Hellman	
		US-4,713,753	12/15/1987	Beobert et al.	
		US-4,796,220	01/03/1989	Wolfe	
		US-4,817,140	03/28/1989	Chandra et al.	
		US-4,827,508	05/02/1989	Shear	
		US-4,868,376	09/19/1989	Lessin et al.	

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		Country Code ³	Number ⁴ <i>(if known)</i>				
		0 084 441	EP	07/27/1983	TABS LIMITED		
		0 180 460	EP	05/07/1986	SONY CORPORATION		
		0 332 707	EP	09/20/1989	HONDA GIKEN KOGYO KABUSHIKI KAISHA		
		0 651 554	EP	05/03/1995	EASTMAN KODAK CO.		
		0 668 695	EP	08/23/1995	VICTOR COMPANY OF JAPAN LIMITED		
		0 725 376	EP	08/07/1996	SONY CORP.		
		2 136 175	GB	09/12/1984	ATALLA CORP.		
		2 236 604	GB	04/10/1991	SUN MICROSYSTEMS INC		
		0 715 241	JP	06/05/1996	MITSUBISHI CORP.		
		04-369068	JP	12/21/1992	CHIYUUBU NIHON DENKI SOFUTOUEA KK		Abst

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		US-4,891,838	01/02/1990	Faber	
		US-4,924,378	05/08/1990	Hershey et al.	
		US-4,932,054	06/05/1990	Chou et al.	
		US-4,937,863	06/26/1990	Robert et al.	
		US-4,949,187	08/14/1990	Cohen	
		US-4,953,209	08/28/1990	Ryder, Sr. et al.	
		US-4,961,142	10/02/1990	Elliott et al.	
		US-4,975,647	12/04/1990	Downer et al.	
		US-4,977,594	12/11/1990	Shear	
		US-4,999,806	03/12/1991	Chernow et al.	
		US-5,010,571	04/23/1991	Katznelson	
		US-5,014,234	05/07/1991	Edwards, Jr.	
		US-5,023,907	06/11/1991	Johnson et al.	
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		US-5,058,164	10/15/1991	Elmer et al.	
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		US-5,136,643	08/04/1992	Fischer	

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		Country Code ³	Number ⁴ Kind Code ⁵ (if known)				
		05-268415	JP	10/15/1993	RICOH CO LTD		Abst
		06-175794	JP	06/24/1994	FUJI XEROX CO LTD		Abst
		06-215010	JP	08/05/1994	SONY CORP.		Abst
		07-084852	JP	03/31/1995	HITACHI LTD.		Abst
		07-200317	JP	08/04/1995	TOSHIBA CORP.		Abst
		07-244639	JP	09/19/1995	FUJITSU LTD		Abst
		62-241061	JP	10/21/1987	NEC CORP.		Abst
		64-068835	JP	03/14/1989	RYOICHI MORI		Abst
		WO 01/63528	PCT	08/30/2001	IPDN COPR.		
		WO 92/20022	PCT	11/12/1992	DIGITAL EQUIPMENT CORP.		

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		US-5,138,712	08/11/1992	Corbin	
		US-5,146,499	09/08/1992	Geffrotin	
		US-5,148,481	09/15/1992	Abraham et al.	
		US-5,159,182	10/27/1992	Eisele	
		US-5,183,404	02/02/1993	Aldous et al.	
		US-5,191,193	03/02/1993	Le Roux	
		US-5,204,897	04/20/1993	Wyman	
		US-5,222,134	06/22/1993	Waite et al.	
		US-5,235,642	08/10/1993	Wobber et al.	
		US-5,247,575	09/21/1993	Sprague et al.	
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		US-5,260,999	11/09/1993	Wyman	
		US-5,263,157	11/16/1993	Janis	
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		US-5,276,444	01/04/1994	McNair	
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		US-5,291,596	03/01/1994	Mita	
		US-5,301,231	04/05/1994	Abraham et al.	
		US-5,311,591	05/10/1994	Fischer	
		US-5,319,705	06/07/1994	Halter et al.	

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		Country Code ³ Number ⁴ Kind Code ² (if known)				
		WO 93/01550 PCT	01/21/1993	INFOLOGIC SOFTWARE, INC		
		WO 94/01821 PCT	01/20/1994	SECURE COMPUTING CORP.		
		WO 96/24092 PCT	08/08/1996	BENSON, Greg		
		WO 97/48203 PCT	12/18/1997	INTEL CORP.		
		WO 98/11690 PCT	03/19/1998	GLOVER, John J.		
		WO 98/42098 PCT	09/24/1998	CRYPTOWORKS, INC.		
		WO 99/49615 PCT	09/30/1999	MICROTOME		

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		US-5,504,818	04/02/1996	Okano	
		US-5,504,837	04/02/1996	Griffeth et al.	
		US-5,509,070	04/16/1996	Schull	
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		US-5,563,946	10/08/1996	Cooper et al.	
		US-5,568,552	10/22/1996	Davis	
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		US-5,634,012	05/27/1997	Stefik et al.	
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		US-5,649,013	07/15/1997	Stuckey et al.	
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		US-5,708,717	01/13/1998	Alasia	
		US-5,734,823	03/31/1998	Saigh et al.	
		US-5,734,891	03/31/1998	Saigh	
		US-5,737,413	04/07/1998	Akiyama et al.	

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		US-5,999,949	12/07/1999	Crandall	
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		US-6,157,721	12/05/2000	Shear et al.	
		US-6,185,683	02/06/2001	Ginter et al.	
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		US-6,301,660	10/09/2001	Benson	
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		US-5,646,992	07/08/1997	Subler et al	

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		"National Semiconductor and EPR Partner for Information Metering/Data Security Cards" March 4, 1994, Press Release from Electronic Publishing Resources, Inc.	
		Weber, R., "Digital Rights Management Technology" October 1995	
		Flasche, U. et al., "Decentralized Processing of Documents", pp. 119-131, 1986, Comput. & Graphics, Vol. 10, No. 2	
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				First Named Inventor	Mark J. STEFIK et al
				Art Unit	3621
				Examiner Name	Kambiz Abdi
Sheet	9	of	10	Attorney Docket Number	111325-272

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